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PTO/SB/92 (04-05)

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NEW POWER OF ATTORNEY
AND
CHANGE OF CORRESPONDENCE ADDRESS**

Application Number	10/736,488
Filing Date	PCT: Feb. 26 2001 DIV: Dec. 15
First Named Inventor	Mattias BECK
Art Unit	2828
Examiner Name	Minsun Oh HARVEY
Attorney Docket Number	GLN-018USDIV

I hereby revoke all previous powers of attorney given in the above-identified application.

☐ A Power of Attorney is submitted herewith.

OR

☒ I hereby appoint the practitioners associated with the Customer Number.

34.617

☒ Please change the correspondence address for the above-identified application to:☒ The address associated with
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I am the:

☐ Applicant/Inventor.☒ Assignee of record of the entire interest. See 37 CFR 3.71.
Statement under 37 CFR 3.72(b) is enclosed. (Form PTO/SB/96)

SEP 19 2005

SIGNATURE of Applicant or Assignee of Record

Signature

Name

Date

Telephone +42327293530

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below.

☐ Total of _____ forms are submitted.

This collection of information is required by 37 CFR 1.36. The information is required to obtain or retain a benefit by the public which is to be (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 5 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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client case # 095

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STATEMENT UNDER 37 CFR 3.73(b)

Applicant/Patent Owner: Mattias BECK, Jerome FAIST and Antoine MULLERApplication No./Patent No.: 10/736,488 Filed/Issue Date: PCT: February 26, 2001;
Divisional Filed December 15, 2003Entitled: QUANTUM CASCADE LASER AND METHOD FOR MAKING SAMEAlpes Lasers S.A. a corporation
(Name of Assignee) (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest.
The extent (by percentage) of its ownership interest is _____ %

in the patent application/patent identified above by virtue of either:

A ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel 014271, Frame 0727, or for which a copy thereof is attached.OR
B ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

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☐ Additional documents in the chain of title are listed on a supplemental sheet.☒ Copies of assignments or other documents in the chain of title are attached.
(NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.03)

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.


Signature29th September 2005
DateANTOINE MULLER
Printed or Typed Name+41327292562
Telephone NumberDIRECTOR
Title

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 38 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1480, Alexandria, VA 22313-1480. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1460, Alexandria, VA 22313-1460.

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JANUARY 21, 2004

PTAS

VAN TASSEL & ASSOCIATES
DEBORAH G. VANDENHOFF
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RECORDATION DATE: 01/20/2004

REEL/FRAME: 014271/0727
NUMBER OF PAGES: 3

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:
BECK, MATTIAS

DOC DATE: 07/15/2002

ASSIGNOR:
FAIST, JEROME

DOC DATE: 07/15/2002

ASSIGNOR:
MULLER, ANTOINE

DOC DATE: 07/15/2002

ASSIGNEE:
ALPES LASERS S.A.
2, RUE CHAMPREVEYRES
NEUCHÂTEL, SWITZERLAND CH-2000

SERIAL NUMBER: 10736488
PATENT NUMBER:

FILING DATE:
ISSUE DATE:

Sent by: Van Tassel & Associates

7138391790;

09/15/05 11:47; JetFax #955;Page 4/7

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THERESA FREDERICK, EXAMINER
ASSIGNMENT DIVISION
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Docket No.: GLN-016USDN

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To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Mattias BECK
 Jérôme FAIST
 Antoine MULLER

Additional name(s) of conveying party(ies) ☐ Yes ☒ No

2. Name and address of receiving party(ies): VED

CENTRAL FAX CENTER

Name(s):

Alpes Lasers S.A.

SEP 15 2005

Address:

2, rue Champrevyres

City: Neuchâtel

State/Prov:

Country: Switzerland

Zip: CH-2000

3. Nature of conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ Other: _____

Execution Date: July 18, 2002

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or registration number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No(s).

10736,488

B. Patent No(s).

Additional number attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Deborah G. VandenHoff

Registration No.: 45176

Address: Van Tassel & Associates
P. O. Box 2928City: Bellaire
Country: U.S.A.State/Prov.: Texas
Zip: 77402-2928

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 3.41):..... \$40.00

☐ Enclosed - Any excess or insufficiency should be credited or debited to deposit account.☒ Authorized to be charged to deposit account


8. Deposit account number:

11-0227

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Deborah G. VandenHoff
Name of Person Signing

 Signature
January 20, 2004
Date

3

Total number of pages including cover sheet and attachments

Attorney Docket No: **6LW-0605**
Our case 0196

ASSIGNMENT

For good and valuable consideration, the receipt of which is acknowledged, the undersigned persons:

- BECK Mattias of Basel (Switzerland),
- FAIST Jérôme of Neuchâtel (Switzerland), and
- MULLER Antoine of Neuchâtel (Switzerland),

hereafter identified as ASSIGNOR, hereby agree as follows:

1. ASSIGNOR hereby assign and transfer to:

Alpes Lasers SA, a corporation of Switzerland, whose post office address is 2, rue Champréveyres, CH-2000 Neuchâtel, including its successors, legal representatives and assigns (collectively referred to hereafter as ASSIGNEE),

the entire right, title and interest, in and to the discovery or improvement ("INVENTION") described in the following specified patent application:

Title	QUANTUM CASCADE LASER AND METHOD FOR MAKING SAME
Serial No.	PCT/CH01/00123
Country	
Filing Date	26 February 2001

and any corresponding patent(s) or registration(s) that may be granted thereon in the United States and any other country.

2. Upon ASSIGNEE's request, ASSIGNOR agree to execute: (a) any and all documents in connection with preparation, filing, prosecution and, if necessary, appeal of applications connected with such patent(s) or registration(s), including any continuation or divisional applications, and (b) any lawful declarations or affidavits relating to such applications.
3. In the event of an interference, reexamination, opposition or similar proceeding, upon ASSIGNEE's request, ASSIGNOR will cooperate with ASSIGNEE to the best of ASSIGNOR ability, including without

limitation, preparing and executing preliminary statements, providing technical assistance and producing evidence in support of such proceeding(s). ASSIGNEE will pay ASSIGNOR for all reasonable and necessary expenses incurred and work performed by such ASSIGNOR, in connection with such proceedings.

4. ASSIGNOR acknowledge that they have read and understood this document, and further acknowledge that they execute this document as their free act and deed.

In witness whereof, this assignment document has been executed by ASSIGNOR on the date opposite their signature.

13-7-2002
Date


BECK Matthias

15/2/2002
Date


FAISY Jérôme

15-7-2002
Date


MULLER Antoine